



Form PTO-1449 (Substitute)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	Attorney Docket Number BEAS-01453US2	Serial/Patent Number 09/767,610
Information Disclosure Statement BY APPLICANT (Use several sheets if necessary)		Applicant/Patent Owner Mark S. Moriconi and Shelly Qian	
		Filing/Issue Date January 22, 2001	Group Art Unit 2131

U.S. PATENTS

Examiner Initial	Patent Number	Issue Date	First Named Inventor	Class	Subclass	Filing Date

U.S. PATENT PUBLICATIONS

Examiner Initial	Patent Application Publication Number	Publication Date	Applicant

PENDING U.S. PATENT APPLICATIONS

Examiner Initial	Application Number	Filing Date	First Named Inventor	Petition to Expunge? Yes No

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FOREIGN PATENT DOCUMENTS

Technology Center 2100

Examiner Initial	Document Number	Publication Date	Country	Class	Subclass	Translation Yes No
BA	1. EP 0 398 645 A2	11/22/90	EP			
BA	2. WO 98/40987	09/17/98	PCT			
BA	3. WO 98/40992	09/17/98	PCT			
BA	4. WO 98/54644	12/03/98	PCT			
BA	5. WO 99/57624	11/11/99	PCT			

OTHER DOCUMENTS (Include author (if any), title, publisher and place of publication, date and pertinent pages)

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Examiner *Branda W. H.*

Date Considered **7-14-04**

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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet	1	of	2
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Complete if Known

Application Number	09/767,610
Filing Date	January 22, 2001
First Named Inventor	Mark Moriconi
Group Art Unit	Unknown
Examiner Name	Unknown
Attorney Docket Number	PA1677US

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U.S. PATENT DOCUMENTS

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Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 2 of 2

Complete if Known

Application Number	09/767,610
Filing Date	January 22, 2001
First Named Inventor	Mark Moriconi
Group Art Unit	Unknown
Examiner Name	Unknown
Attorney Docket Number	PA1677US

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OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

Examiner Initials ¹	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
BA		ENTITLENET, INC., 6 Webpages from Website, URL: www.entitlenet.com/ Publication Date Unknown, Download Date: 11-17-2000	
BA		JOHN FIELD, "From Authorization to Transactional Entitlement," Website of Transindigo, Inc., URL: www.transindigo.com/ Published: 09/2000	
BA		JOHN FIELD, "Dynamic Enterprise Entitlement," Website of Transindigo, Inc., URL: www.transindigo.com/ Published: 09/2000	
BA		JOHN FIELD, "Using Elara (TM)," Website of Transindigo, Inc., URL: www.transindigo.com/ Published: 09/2000	
BA		AUTHOR UNKNOWN, "AppShield (TM) Version 3.0," (White Paper), Website of Sanctum, Inc., URL: www.sanctuminc.com Published: 12/2000	

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APPLICANTS: Mark Moriconi et al.
SERIAL NO.: 09/767,610
FILED: January 22, 2001
TITLE: System and Method for Maintaining Security in a
Distributed Computer Network
EXAMINER: Unknown
GROUP ART UNIT: Unknown
ATTY.DKT.NO.: PA1677US

COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

List of Co-Pending Patent Applications that may be Related

Examiner's Initials	Serial Number	First-Named Inventor	Title	Filing Date
BN	09/721,557	Mark Moriconi	System and Method for Maintaining Security in a Distributed Computer Network	11/22/00
Examiner's Signature:	Branda Ruff			Date 7-14-04